

Grantee: State of Indiana - OCRA

Grant: B-08-DI-18-0001

April 1, 2009 thru June 30, 2009 Performance Report

Grant Number:

B-08-DI-18-0001

Obligation Date:**Grantee Name:**

State of Indiana - OCRA

Award Date:**Grant Amount:**

\$348,382,701.00

Contract End Date:**Grant Status:**

Active

Review by HUD:

Reviewed and Approved

Submitted By:

No Submitter Found

Disasters:

Declaration Number

No Disasters Found

Plan Description:

The 2008 disasters in Indiana have been among the worst in our states history. 82 of Indianas 92 counties were declared as Presidential disaster areas between the three disaster periods (DR-1740, DR-1766 and DR-1795). DR-1740, which affected northern Indiana, occurred after winter rains melted several feet of snow, causing severe flooding. 21 counties were declared as a result of the winter weather. Additionally, the northwestern-most counties declared as part of DR-1740 were again affected by severe storms. As of the end of 2008, the declared counties received \$7.6 million of Individual Assistance and \$5.1 million in Public Assistance as a result of DR-1740. DR-1766, the result of tornados and severe flooding in late May and early June, was clearly the most substantial 2008 disaster with 44 counties declared as Presidential disaster areas. FEMA estimates that total IA and PA for this disaster will exceed \$275 million. FEMA and the SBA received 17,844 applications for IA during DR-1766, resulting in over \$127 million in assistance. The PA process is now in full swing with FEMA having 318 eligible applicants from local and state government and an estimated 2,111 project worksheets. Currently \$22 million have been obligated to local governments, and PA total estimates exceed \$150 million. Lastly, when the powerful remnants of Hurricane Ike passed through Indiana in early September they caused severe flooding in the northern parts of the state and wind storms in the south. DR-1975 resulted in 38 county declarations. To date, 25,800 IA applications have been filed with FEMA and \$43 million of financial assistance provided to individuals. 4 The chart below depicts the best possible estimate of the financial impact of all the 2008 disasters to the state of Indiana. Based on these estimates, the state has been subject to over \$1.9 billion in damage to public infrastructure, housing and farmland.

Recovery Needs:

The largest economic impact to Indiana will be in the area of agriculture where early estimates indicate that crop losses will exceed \$300 million and land rehabilitation losses for activities like debris and sediment removal, levee repair and soil erosion repair will exceed \$200 million. Impact to other Indiana businesses is likely to exceed \$500 million with most of the damage occurring in the five most effected counties of Bartholomew, Johnson, Vigo, Morgan and Owen. The most substantial areas of damage occurred in Columbus, Indiana, where the Columbus Regional Hospital was completely immobilized and had over \$211 million in damages of which less that \$75 million will be covered by FEMA. Additionally, the citys largest employer reported damages in excess of \$200 million none of which qualified for assistance. Damage to Indianas public infrastructure will likely exceed \$325 million and it is estimated that FEMA-PA will cover \$217.4 million that was found to be eligible for their program, providing a federal share of \$123.3 million or less than half, of this expense. The remainder does not qualify, is covered by another Federal program, or represents the state/local match. The chart below depicts the financial assistance the State of Indiana has received. However, the costs that go beyond what is covered below have been identified as key areas of remaining need. Indiana has over 17,000 families who suffered some type of damage to their dwelling. Housing is a key challenge for the state. FEMA-IA has provided substantial assistance; however, additional help will be required. The most urgent need is to insure that homeowners who are displaced by flooding are not faced with outstanding mortgage debt from a substantially damaged property that precludes them from obtaining suitable replacement housing. Over 700 homes totaling \$80MM have been identified for community buy-out programs. Projections from DR-1766 indicate that FEMAs payout under the HMGP will leave Indiana with a shortfall of at least \$12MM. Extrapolating these metrics to DR-1795, Indiana expects an underpayment from FEMA to total \$6MM.

Moreover, 20% of the displaced homeowners also find themselves underwater on average 10% with their mortgage. To make these homeowners whole will cost an additional \$1.6MM. In total, Indiana anticipates nearly \$20MM in unmet housing need for homeowners who have lost their homes due to these recent disasters. Filling this gap with CDBG Disaster Recovery Funds would make homeowners whole and permit localities to move forward with their buy-out programs expeditiously.

Method of Distribution

All local units of government (cities, towns, counties) will be eligible for the Disaster Recovery program, including HUD Entitlements.

Rental Housing Finance Fund The state of Indiana plans to invest \$11,500,000 to develop affordable rental properties in communities devastated by the disasters of 2008. The rental markets in many of the communities impacted the most suffered from an under supply of units and excessive demand from income-restricted households. Utilizing CDBG Disaster Recovery Funds to replace and expand rental opportunities in these communities meets two key objectives: 1) allows existing residents to remain in the community; and 2) provides a ready supply of housing alternatives for a service sector workforce that previously could not afford to reside in the community. Allocation: \$11,500,000

Emergency Housing Plans Indiana proposes to (county) for County Emergency Housing Plans. During the 2008 floods it became apparent that county wide housing disaster plans would provide great assistance in determining potential emergency shelter points, identifying short term and long term rental housing resources including hotels, motels, single family landlords and apartment owners. Establishing upfront relationships with these partners and processes in the event of a future disaster is of vital importance in ensuring a successful and timely response.

Allocation: \$1,500,000

Flood Plain Acquisition and Green Space Development IHADA, in coordination with the Indiana Department of Homeland Security (IDHS), proposes to provide grants that allow cities, towns, and counties to acquire and demolish substantially damaged residential properties contained within a floodplain or floodway and to redevelop the area into permanent green space for community use. The voluntary program must be initiated by a local community and be agreed to by individual homeowners. In order to be considered for mitigation funds, communities must have a FEMA-approved multi-hazards mitigation plan. The Indiana Department of Homeland Security has provided grants to the 42 counties impacted by the floods to complete these plans. To expedite the overall process, FEMA has given approval for communities to apply for mitigation funds while they assemble their multi-hazards plans. Interested communities must submit a joint grant application to IDHS. This appropriation of Disaster Recovery Funds will not be used for the 25% non-federal match requirement under FEMA's Hazard Mitigation Grant Program. Rather it will be used to fill the mortgage gap that is created by shortfalls in FEMA payout. This use will ensure that homeowners have the necessary funds to obtain suitable replacement housing. Local communities in coordination with IDHS will determine the gap each homeowner may experience. The Flood Plain Acquisition and Green Space Development Program has no maximum grant amount. Allocation: \$7,000,000

Storm Drainage Improvements Eligible projects will either have sustained damage in a disaster or be vital to the economic recovery of the area. All projects must meet the National Objective of LMI Area Benefit (51% low to moderate income persons). Projects/applications will be evaluated using the following criteria:

1. The importance of the project to post disaster recovery of the applicant 30%
2. The percentage of low-to-moderate income persons served 25%;
3. The percentage of grant amount in relation to applicants annual budget 15%;
4. The relative economic distress of the applicant 15%;
5. Applicant ranking on the OCRA Rurality Index 15%.

Grants will be awarded in 1 competitive funding round. Actual grant amounts are negotiated on a case by case basis and the amount of assistance will be dependent upon the factors described above. There is a \$1,000,000 maximum grant award for the Storm Drainage Improvements Program.

Allocation: \$20,040,491

Wastewater and Drinking Water System Improvements Eligible applicants will have approved projects with the Indiana State Revolving Fund and/or the USDA-RD Water and Environmental Programs. Eligible projects will either have sustained damage in a disaster or be vital to the economic recovery of the area. All projects must meet the National Objective of LMI Area Benefit (51% low to moderate income persons). Applications will be evaluated using the following criteria:

1. Importance of the project to post disaster recovery of the applicant;
2. The percentage of low-to-moderate income persons served;
3. The relative economic distress of the applicant;

Grant applications will be accepted and awards made until funding is no longer available. Grant amounts will be determined at the discretion of OCRA based on the above criteria.

Allocation: \$35,250,000

Emergency Services Program Eligible projects will either have sustained damage in a disaster or be vital to the economic recovery of the area. Projects must meet the National Objective of LMI Area Benefit (51% low-to-

moderate income persons). Projects/applications will be evaluated using the following criteria in a single competitive round.

1. The importance of the project to post disaster recovery of the applicant 40%;
2. The percentage of low-to-moderate income persons served 30%;
3. The percentage of grant amount in relation to applicants annual budget 5%;
4. The relative economic distress of the applicant 10%;
5. The rurality of the applicant 15%.

Grants will be awarded in 1 competitive funding round. Actual grant amounts are negotiated on a case by case basis and the amount of assistance will be dependent upon the factors described above. There is a \$500,000 maximum grant award for the Emergency Services Program. Allocation: \$2,500,000

Downtown Revitalization Program Eligible projects will be vital to the economic recovery of the area. Projects must meet the National Objective of LMI Area Benefit (51% low-to-moderate income persons). Grants will be available for Downtown Revitalization Plans and Downtown Revitalization Implementation Projects. Projects/applications will be evaluated using the following criteria in a single competitive round.

1. The importance of the project to post disaster recovery of the applicant 40%;
2. The percentage of low-to-moderate income persons served 30%;
3. The percentage of grant amount in relation to applicants annual budget 5%;
4. The relative economic distress of the applicant 10%;
5. The rurality of the applicant 15%.

Grants will be awarded in 1 competitive funding round. Actual grant amounts are negotiated on a case by case basis and the amount of assistance will be dependent upon the factors described above. There is a \$50,000 maximum grant award for Downtown Revitalization Plans and a \$500,000 maximum grant award for Downtown Revitalization Implementation.

Allocation: \$2,500,000

Community Economic Development Program Eligible projects will be vital to the economic recovery of the area. Eligible projects will create jobs for a minimum of 51% low-to-moderate income persons. Projects/applications will be evaluated using the following criteria:

1. The importance of the project to post disaster recovery of the applicant;
2. The percentage of low-to-moderate income persons served;

Grant applications will be accepted and awards made until funding is no longer available. Actual grant amounts are negotiated on a case by case basis and the amount of assistance will be dependent upon the factors described above. There is no maximum grant award for the Economic Development Program.

Allocation: \$10,000,000 Administration

The State will set aside \$4,752,131 of its CDBG Disaster Recovery Funds for payment of costs associated with administering the Program (Docket No. FR-5256-N-01). This constitutes five percent (5%) of the States CDBG Disaster Recovery allocation. These funds will be used by the Office of Community and Rural Affairs for expenses associated with administering its State CDBG Program, including direct personal services and fringe benefits of applicable Office of Community and Rural Affairs staff, as well as direct and indirect expenses incurred in the proper administration of the states program and monitoring activities respective to CDBG grants awarded to units of local government (i.e. telephone, travel, services contractual, etc.).

Allocation: \$4,752,131

Overall	This Report Period	To Date
Total Projected Budget from All Sources	N/A	\$40,061,590.00
Total CDBG Program Funds Budgeted	N/A	\$40,061,590.00
Program Funds Drawdown	\$6,851.57	\$6,851.57
Obligated CDBG DR Funds	\$100,000.00	\$100,000.00
Expended CDBG DR Funds	\$0.00	\$0.00
Match Contributed	\$6,000,000.00	\$6,000,000.00
Program Income Received	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00

Progress Toward Required Numeric Targets

Requirement	Required	To Date
Minimum Overall Benefit Percentage	\$50.00	\$0.00
Minimum Non-Federal Match	\$0.00	\$6,000,000.00
Limit on Public Services	\$52,257,405.15	\$0.00
Limit on Admin/Planning	\$34,838,270.10	\$0.00
Limit on State Admin	\$0.00	\$0.00

Progress Toward Activity Type Targets

Progress Toward National Objective Targets

Overall Progress Narrative:

4 Economic Development projects funded, 12 in application stage. 5 Wastewater/Drinking water applications funded, 25 in application stage. This program is in conjunction with State Revolving Fund and USDA who have been delayed in approving projects due to ARRA. 22 stormwater applications funded out of 61 submitted applications. 27 Emergency Services applications received, awards to be announced 9/25/09. 29 Downtown Revitalization applications received, awards to be announced 9/25/09. Multifamily Housing program request for applications recently released. MOU being drafted between IHEDA and DHS to create Disaster Housing Plans.

Project Summary

Project #, Project Title	This Report Period		To Date	
	Project Funds Budgeted	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
9999, Restricted Balance	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-001, Union City ED	\$400,000.00	\$0.00	\$400,000.00	\$0.00
DR2-09-002, Cayuga ED	\$109,000.00	\$0.00	\$109,000.00	\$0.00
DR2-09-003, Chandler	\$1,643,850.00	\$0.00	\$1,643,850.00	\$0.00
DR2-09-004, LaFontaine, City of	\$579,540.00	\$0.00	\$579,540.00	\$0.00
DR2-09-005, Moores Hill, Town of	\$570,000.00	\$0.00	\$570,000.00	\$0.00
DR2-09-006, Elnora, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-007, Evansville, City of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-008, Brookston, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-009, Claypool, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-010, Cromwell, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-011, Farmersburg, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-012, Gosport, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-013, Kewana, Town of	\$0.00	\$0.00	\$0.00	\$0.00

DR2-09-014, Lanesville, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-015, Leesburg, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-016, Lyons, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-017, Napoleon, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-018, Nashville, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-019, New Richmond, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-020, Odon, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-021, Ridgeville, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-022, Rockport, City of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-023, Sandborn, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-024, South Whitley, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-025, Staunton, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-026, Stilesville, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-027, Tell City, City of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-028, Wabash, City of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-029, Worthington, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-030, Alexandria, City of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-031, Princeton, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-032, Swayzee, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-033, Prince's Lake, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-034, Paoli, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-035, Gas City, City of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-036, Spencer, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-037, Rushville, City of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-038, Dana, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-039, Elberfeld, Town of	\$0.00	\$0.00	\$0.00	\$0.00
DR2-09-Admin, State Admin	\$4,752,131.00	\$6,851.57	\$4,752,131.00	\$6,851.57
DR2H-09-100, Heritage Place at Parkview LP	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-101, Spicewood Garden Apartments LP	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-102, Amber Woods	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-103, Covered Bridge Apartments	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-104, Jackson Square Apartments	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-105, Millstone Pointe	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-106, Noblesville Senior	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-107, St. Claire Apartments LP	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-108, Trail Side on Mass Ave	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-109, Willow Glen Apartments	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-110, CAPE Place	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-111, Wexford Homes of Michigan City LP	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-112, Mapleton Properties LP	\$0.00	\$0.00	\$0.00	\$0.00
DR2H-09-113, Hopeside Senior Community II	\$0.00	\$0.00	\$0.00	\$0.00

Activities

Grantee Activity Number: DR2-09-Admin-A

Activity Title: State Admin

Activity Category:

Administration

Project Number:

DR2-09-Admin

Projected Start Date:

02/01/2009

National Objective:

N/A

Activity Status:

Under Way

Project Title:

State Admin

Projected End Date:

12/31/2012

Responsible Organization:

State of Indiana

Overall

Apr 1 thru Jun 30, 2009

To Date

Total Projected Budget from All Sources	N/A	\$1,782,049.50
Total CDBG Program Funds Budgeted	N/A	\$1,782,049.50
Program Funds Drawdown	\$6,851.57	\$6,851.57
Obligated CDBG DR Funds	\$100,000.00	\$100,000.00
Expended CDBG DR Funds	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00

Activity Description:

General State Admin

Location Description:

State of Indiana

Activity Progress Narrative:

Performance Measures

No Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources
